



Windsor Academy Trust

How we Use your Information

Privacy Notice for Staff and Volunteers

(Full Version)

Staff and Volunteers Privacy Notice

Introduction

- 1 This Privacy Notice is to help you understand **how** and **why** Windsor Academy Trust (WAT) collects personal information about you and **what** we do with that information. It also explains the decisions that you can make about your own information.
- 2 WAT operates the Academy and is ultimately responsible for how the Academy handles your personal information. In this privacy notice, we use the term "Trust" to mean both the Academy and WAT.
- 3 If you have any questions about this notice please contact the Academy's Data Protection Lead. Their contact details are held on the website.
- 4 WAT has a Data Protection Officer who monitors and advises on the Academy's data protection compliance. Their details can be found on the WAT website.
- 5 This Notice is aimed at all WAT staff (including employees, members, directors/trustees Local Advisory Body members, work experience/placement students, volunteers and certain contractors and agency staff and applicants for employment vacancies. This Notice does not form part of a contract of employment and WAT may amend this notice at any time.

What is personal information?

- 6 Personal information is information which is about you and from which you can be identified.
- 7 This includes your contact details, next of kin and financial information. We will also hold information such as your religion or ethnic group for the purposes of equalities monitoring. CCTV, photos and video recordings of you are also personal information.

What personal information does WAT hold about you and how is this obtained?

- 8 We set out below examples of the personal information WAT may need to hold about you and where this personal information comes from.
- 9 Information about you is gathered during the recruitment process, for example:
 - 9.1 information about your education, qualifications and professional achievements;
 - 9.2 when you provide certain information to us, for example, on your application form and during any interviews;
 - 9.3 when we receive your personal information (from you and third parties) in carrying out pre-employment checks, for example, when we receive references, confirmation of your fitness to work, your right to work in the UK and criminal records checks.
- 10 We will hold information about your job performance. This includes information about skills, achievements, career progression, performance and disciplinary related matters.
- 11 We hold and use your financial information, such as your bank details, your salary and pension details.
- 12 We will hold information about any physical or mental health condition you may have which is disclosed to WAT during the recruitment process or at any stage during your employment.

- 13 We will hold information about any protected characteristics you may have (e.g. a disability) which you provide, for example on the Equal Opportunities Monitoring Form.
- 14 Your personal information will be created internally by WAT during the course of your employment. An email from the Head to a member of staff complimenting them on class management would be an example of this.
- 15 Your personal information may be acquired from outside of WAT community such as from occupational health practitioners or from public authorities such as the Police or the Local Authority Designated Officer.
- 16 Pupils will often provide us with your personal information, for example, if a pupil/student emails their form teacher to say how much they are helping them with their work.
- 17 Your personal information will be held on the Single Central Register.

Our legal bases for using your information

- 18 This section contains information about the legal bases that we are relying on when handling your information as described above.
- 19 The two tables below contain a general description of the different legal bases but we have also used a colour code system so that you can see which bases we are relying on for each of the purposes described at paragraphs 22 to 40 below.
- 20 If we do not have a contract with you, for example, if you are a Trust member, director/trustee LAB member or volunteer, we will not rely on the contractual basis ("CT") to use your information.

Contractual obligation ("CT")

We will need to use your information in order to comply with our contractual obligations under your employment contract with WAT. For example:

- we need your name and bank details so that we can pay you your salary;
- we may need to provide your personal information to a pension provider so that you can benefit from your pension entitlement;
- we also need to use your personal information to provide contractual benefits.
- [● if we provide you with accommodation under your contract we will use your personal information as part of this provision].

Legal obligation ("LO")

We have to comply with various laws and this entitles us to use your information where necessary. For example:

- we have to make sure that you have the right to work in the UK;
- to fulfil our duty of care to you and your colleagues;
- we have to fulfil our safeguarding duties towards pupils; and
- sometimes we will be legally obliged to disclose your information to third parties such as the DBS, local authorities or the police. More detail of when we will do so is set out at paragraph 25 above.

Vital interests

We may use your information where this is necessary to protect your vital interests or someone else's. For example, to prevent someone from being seriously harmed or killed.

Performance of a task carried out in the public interest ("PI")

The following are examples of when we use your information to perform tasks in the public interest:

- looking after your welfare and development and the welfare and development of others. This includes equal opportunities monitoring;
- safeguarding and promoting the welfare of our pupils;
- providing pupils with an education;
- ensuring the security of the school site which may involve issuing you with a photocard;
- making sure that you are complying with your employment obligations;
- facilitating the efficient operation of WAT; and
- ensuring that we comply with all of our legal obligations.

Legitimate interests ("LI")

This means that WAT is using your information when this is necessary for WAT's legitimate interests except when your interests and fundamental rights override our legitimate interests.

We have a legitimate interest in using your information to:

- i. promote WAT including fundraising and publicity;
- ii. preserve historical school records.

WAT must also comply with an additional condition where it processes special categories of personal information. These special categories are as follows: personal information revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, genetic information, biometric information, health information, and information about sex life or orientation. The bases that we are relying on to process special categories of personal information are set out below:

Employment, social security and social protection ("ESP")

The processing is necessary for the purposes of carrying out the obligations and exercising specific rights of WAT and staff in the field of employment, social security or social protection. For example, sometimes this would allow us to disclose your information to third parties such as the DBS or occupational health services.

Vital interests

To protect the vital interests of any person where that person cannot give consent, for example, if they are seriously hurt and are unconscious.

Legal claims ("LC")

The processing is necessary for the establishment, exercise or defence of legal claims. This allows us to share information with our legal advisors and insurers.

Medical purposes ("MP")

This includes medical treatment and the management of healthcare services.

Substantial public interest ("SPI")

WAT is also allowed to use special categories of personal information where doing so is necessary in the substantial public interest. This is similar to "Public interest" in the table above, for example WAT may use special categories of information when providing an education to pupils, looking after the welfare of pupils or when WAT is inspected.

Why does WAT use your personal information?

21 The letters highlighted in different colours below refer to the legal bases we are relying on, please see the section above for an explanation.

22 We commonly use personal information for:

22.1 providing education and support to our pupils - PI, SPI;

22.2 ensuring that we provide a safe and secure work environment - PI, ESP, SPI

22.3 providing employment services (such as payroll and references) - CT, PI, SPI

22.4 providing training and support - PI, PI

22.5 personnel, administrative and management purposes and to enable us to meet our legal obligations as an employer. For example, to pay staff and to monitor their performance - CT, LO, PI, ESP, SPI;

22.6 safeguarding and promoting the welfare of all staff and pupils - ESP, SPI; and

22.7 fulfilling our contractual and other legal obligations - CT, LO, PI, ESP, SPI.

23 Some specific examples of when WAT uses your personal information are set out below:

23.1 We use your personal information to consider your suitability to work in your role at WAT - LO, PI, SPI.

23.2 We will check that you have the right to work in the UK by reviewing your identification documents and keeping copies on your personnel file - LO, PI, SPI.

23.3 We may use your personal information in addressing any performance or disciplinary concerns which arise - PI, SPI.

23.4 We will use information relating to any medical condition you may have in order to verify fitness to work, monitor sickness absence and comply with our duty of care towards you - PI, MP, SPI.

- 23.5 We will use your information when dealing with complaints and grievances with which you are involved (e.g. from other staff and parents) - **PI**, **SPI**.
- 23.6 We may use your information when responding to an incident, for example, if something is published on social media which concerns the Academy - **PI**, **LI**.
- 23.7 We often use photographs and video recordings of staff, for marketing and promotion purposes. This will include in Trust publications, in social media and on WAT's websites] - **LI**.
- 23.8 We will also allow external publication of certain media where appropriate (for example, a photograph or article in a local newspaper) - **LI**.
- 23.9 We may also make recordings for teaching purposes, for example, recording a drama lesson to provide feedback to you or pupils. We may also record lessons for pupils who were not able to attend in person - **PI**, **SPI**.
- 23.10 We use CCTV recordings for the purposes of crime prevention and investigation and also in connection with our obligation to safeguard the welfare of pupils, staff and visitors to the Academy site - **PI**, **SPI**.
- 23.11 WAT regularly monitors and accesses its IT system for purposes connected with the operation of the Academy or WAT. The IT system includes any hardware, software, email account, computer, device or telephone provided by WAT or used for Trust business. WAT may also monitor staff use of WAT telephone system and voicemail messages. Staff should be aware that WAT will monitor the contents of a communication (such as the contents of an email) - **PI**, **SPI**.
- 23.12 The purposes of such monitoring and accessing include:
- 23.12.1 to help WAT with its day to day operations. For example, if a member of staff is on holiday or is off sick, their email account may be monitored in case any urgent emails are received - **PI**, **SPI**; and
- 23.12.2 to check staff compliance with WAT's policies and procedures and to help WAT fulfil its legal obligations. For example, to investigate allegations that a member of staff has been using their email account to send abusive or inappropriate messages - **PI**, **SPI**.
- 23.13 Monitoring may be carried out on a random basis and it may be carried out in response to a specific incident or concern - **PI**, **SPI**.
- 23.14 WAT also uses software which automatically monitors the IT system (for example, it would raise an alert if a member of staff visited a blocked website or sent an email containing an inappropriate word or phrase - **PI**, **SPI**
- 23.15 The monitoring is carried out by IT Staff. If anything of concern is revealed as a result of such monitoring then this information may be shared with Senior Leaders and this may result in disciplinary action. In exceptional circumstances concerns will need to be referred to external agencies such as the Police - **PI**, **SPI**.
- 23.16 We will send you information about how to support WAT, for example fundraising opportunities - **LI**.

- 23.17 We may keep some old school records for a long time or even indefinitely, for example, to enable the history of the Academy to be kept - **LI**.
- 24 If you fail to provide certain information when requested, we may not be able to perform our obligations under the contract of employment or agreement we have entered into with you (such as paying you or providing a benefit). Alternatively, we may be prevented from complying with our legal obligations (such as to ensure the health and safety of our workers).

How does WAT share staff personal information with third parties?

- 25 We will need to share your information with:
- 25.1 the Disclosure and Barring Service (**DBS**), via EPM and / or the Teaching Regulation Agency (previously known as the National College for Teaching and Leadership (if applicable) when complying with our legal duty to carry out pre-appointment suitability checks - **LO, PI, ESP, SPI**; and
 - 25.2 the DBS and / or the Teaching Regulation Agency (if applicable) if circumstances arise in which we are required to make a referral to either or both of these bodies - **LO, PI, ESP, SPI**.
- 26 To fulfil our obligations to you as an employer we will need to share your information with medical professionals, such as occupational health services, where we are making a referral - **CT, PI, SPI**.
- 27 Occasionally we will use consultants, experts and other advisors (including legal advisors and accountants) to assist us in fulfilling our obligations and to help run WAT properly. We will often need to share your information with them if this is relevant to the work they carry out - **PI, ESP, LC, SPI**.
- 28 In accordance with our legal obligations, we will share information with Ofsted, for example, during the course of an inspection, and may need to share your information with the Department for Education - **LO, PI, ESP, SPI**.
- 29 As an employer we must check if you can work in the UK before we employ you. Additionally, if you are sponsored by us under Tier 2 or Tier 5 in certain circumstances we will have to provide information about you to UK Visas and Immigration to comply with our duties as a Tier 2/5 sponsor - **LO, PI, SPI**.
- 30 We may share some of your information with our insurance company or benefits providers, for example, where there is a serious incident or to ensure that you are able to take advantage of the benefit - **CT, PI, ESP, LC, SPI**.
- 31 We may need to share information about you with the Health and Safety Executive (a government organisation) if there is a health and safety issue at WAT - **LO, PI, ESP, SPI**.
- 32 We may need to share your information with the Education and Skills Funding Agency e.g. if we need to make a serious incident report - **LO, PI, ESP, SPI**.
- 33 If WAT is dealing with a complaint or grievance (e.g. from a colleague or a parent) we will need share your information with other parties if it is relevant, for example, the appropriate staff at WAT, the colleague or parents making the complaint and directors/ trustees - **LO, PI, SPI**.

- 34 If appropriate, we will share your information with individuals connected to WAT who are exercising their data protection rights, for example, when responding to a subject access request - **LO, PI, SPI**.
- 35 We will share personal information about staff with the relevant statutory agencies if it is appropriate to share this information to investigate allegations of misconduct - **LO, PI, ESP, SPI**.
- 36 We may need to share your information with the Local Authority Designated Officer in accordance with our safeguarding obligations - **LO, PI, ESP, SPI**.
- 37 On occasion, we may need to share your information with the police for the prevention and investigation of crime and the prosecution of offenders. We will only do this in specific circumstances to assist the police with their investigations. In exceptional circumstances CCTV recordings may be disclosed to third parties such as the police - **LO, PI, ESP, SPI**.
- 38 If appropriate, we will share your information with parents and pupils where this is related to your professional duties, such as information about the subjects you teach - **LO, PI, ESP, SPI**.
- 39 We may need to share your information if there is an emergency, for example, if you are hurt in an accident - **LO, PI, ESP, MP, SPI**.
- 40 We will share information about you with the other schools in the multi-academy trust. For example, information about which pupils you teach and/or information relating to the pre-employment checks WAT has carried out - **PI, ESP, SPI**.]
- 41 We sometimes use contractors to handle personal information on our behalf. For example, our HR and payroll provider. Where we need to share personal data with a third party we carry out due diligence and take reasonable steps to ensure that your data is protected.

Transfers of your personal information overseas

We may send your information to countries which do not have the same level of protection for personal information as there is in the UK. For example, we may organise an overseas school trip. If we transfer personal data to a third party country or territory, we will do so in accordance with UK data protection law.

If you have any questions about the safeguards that are in place please contact the Academy Data Protection Lead or the Data Protection Officer.

For how long does WAT keep staff personal information?

We keep your information for as long as we need to in relation to your employment. We will keep some information after you have left WAT in case this is needed, for example, in relation to our legal obligations.

In exceptional circumstances we will keep your information for a longer time than usual but we would only do so if we had a good reason and only if we are allowed to do so under data protection law.

Please see our Information and Records Retention Policy for information on how long we keep your personal information for. This can be found on our website here [Policies and Procedures](#)

Processing in line with your rights

Your rights are as follows:

- **Rectification:** if information WAT holds about you is incorrect you can ask us to correct it.
- **Access:** you can also ask what information we hold about you and be provided with a copy of it. This is commonly known as making a subject access request. We will also give you extra information, such as why we use this information about you, where it came from and what types of people we have sent it to.
- **Deletion:** you can ask us to delete the information that we hold about you in certain circumstances. For example, where we no longer need the information.
- **Portability:** you can request the transfer of your information to you or to a third party in a format that can be read digitally in certain circumstances.
- **Object:** you may object to us using your information where:
 - a. we are using it for direct marketing purposes
 - b. we are relying on either the legitimate interests or performance of a task carried out in the public interest basis to use it - please see the section "Our legal bases for using your information" above; and
 - c. we are using it for historical or scientific research purposes or archiving purposes. For example, we may keep photographs of staff for historical reasons.
- **Restriction:** our use of information about you may be restricted in some cases. For example, if you tell us that the information is inaccurate we can only use it for limited purposes while we check its accuracy.

The Academy's Data Protection Lead can give you more information about your data protection rights. To exercise any of your rights you can submit your request in writing to the Academy Data Protection Lead.

Criminal offence information

We may only use information relating to criminal convictions and offences where the law allows us to do so. This will usually be where such processing is necessary to carry out our obligations or to exercise our rights.

Less commonly, we may use information relating to criminal convictions and offences where it is necessary in relation to legal claims, where it is necessary to protect your interests (or someone else's interests) and you are not capable of giving your consent, or where you have already made the information public.

Consent

We may ask for your consent to use your information in certain ways as an alternative to relying on any of the bases in this Notice. For example, we may ask for your consent before taking or using some photographs and videos if the photograph or video is more intrusive and we cannot rely on our legitimate interests or it being in the public interest. If we ask for your consent to use your personal information you can take back this consent at any time. Any use of your information before you withdraw your consent remains valid. You can speak to the Data Protection Lead/ Data Protection Officer if you would like to withdraw any consent given.

More than one basis

As you will see from this Notice, in some cases we will rely on more than one basis above for a particular use of your information. In addition, we may move from one of the legal bases listed above to another as circumstances change. For example, as a safeguarding matter becomes more serious, we may start to rely on the legal obligation to share personal information with the local authority in addition to the other legal bases which are noted for safeguarding purposes.

Further information

This privacy notice does not, and is not intended to give you any rights which you did not already have. For example, it does not give you any additional employment or contractual rights.

If you would like any further information about anything within this notice please contact the Data Protection Lead or Data Protection Officer. Their details are held on the Academy and WAT websites.

Please speak to the Data Protection Lead if:

1. you would like us to update the information we hold about you; or
2. you would prefer that certain information is kept confidential.
3. If you consider that we have not acted properly when using your personal information you can contact the Information Commissioner's Office: ico.org.uk.